



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,231	03/30/2001	Bruce A. Nelson	1002-001US01

CONFIRMATION NO. 6320

FORMALITIES LETTER



\*OC000000006097372\*

SHUMAKER & SIEFFERT, P.A.  
150 Gateway Corporate Center  
576 Bielenberg Drive  
St. Paul, MN 55125

D: 7-21-01

Date Mailed: 05/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$457.
  - \$297 for 33 total claims over 20.
  - \$160 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 877.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/01/2001 RHARTSI 00000144 09823231

355.00 OP  
160.00 OP  
297.00 OP  
65.00 OP

1 FC:201  
2 FC:202  
3 FC:203  
4 FC:204  
5 FC:205

65.00 DP

4FC:205

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



DA

See doc \$

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bruce A. Nelson Examiner: Unknown  
Serial No.: 09/823,231 Group Art Unit: Unknown  
Filed: March 30, 2001 Docket No.: 1002-001US01  
Title: DATA ACCESS SYSTEM

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on Sept 21, 2001.  
By: Celia A. Roberts  
Name: Celia A. Roberts

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached correspondence relating to this application:

- ☒ Transmittal sheet containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney (3 pgs.)
- ☒ Part 2 - Notice to File Missing Parts of Nonprovisional Application (2 pgs.)
- ☒ Request for Extension of Time for two months and fee of \$195.00 (1 pg.)
- ☒ Check in the amount of \$1,072.00 (\$812.00 for deferred filing fee, \$65.00 for missing parts surcharge, and \$195.00 for a two month extension of time)
- ☒ Return postcard

Please apply any charges not covered, or any credits, to Deposit Account No. 50-1778.

Date: September 21, 2001

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